



# Danube Transnational Programme

*Info Day SK 15.01.2019*

A stream of cooperation

1. Specific Objectives and topics addressed by the 3rd CfP
2. Requirements for successful project applications (DTP Overview, Partnership, Intervention Logic, Budget – general principles)
3. Brokerage session

## PART 1.

Specific Objectives and topics addressed by the 3rd CfP

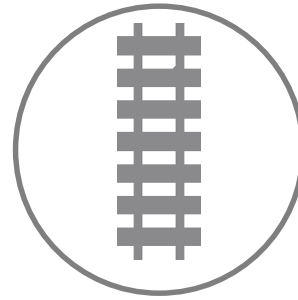
# Which PAs can be addressed?



**Innovative and  
socially responsible  
Danube Region**



**Environment and  
culture responsible  
Danube Region**



**Better connected  
and energy  
responsible Danube  
Region**

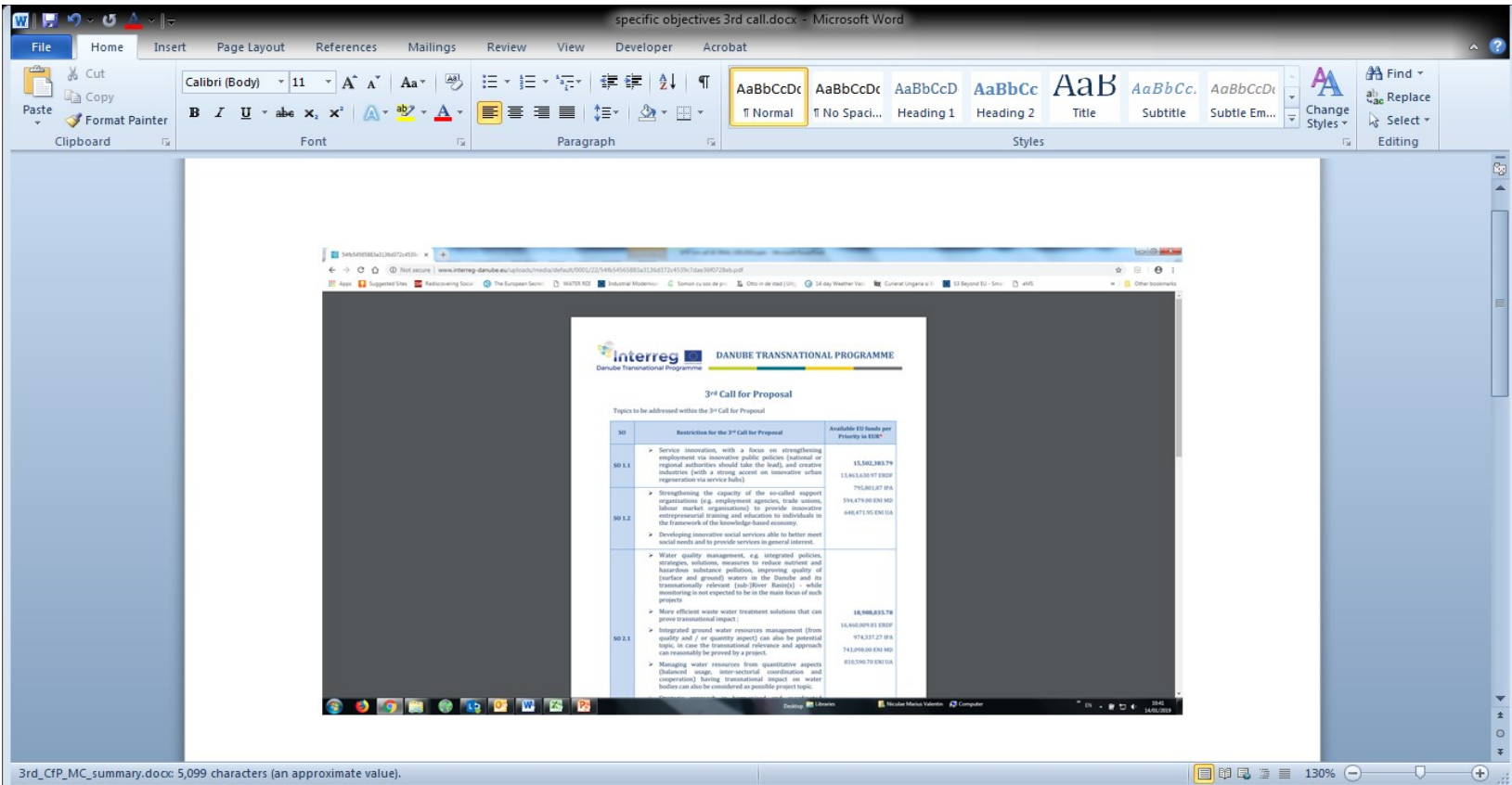


**Well governed  
Danube Region**



 *Opening of SO 4.1 is subject to approval of the programme budget reallocation by the EC.*

<http://www.interreg-danube.eu/uploads/media/default/0001/22/54fb54565883a3136d372c4539c7dae36f0728eb.pdf>



specific objectives 3rd call.docx - Microsoft Word

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3rd Call for Proposal

Topics to be addressed within the 3<sup>rd</sup> Call for Proposal

SO	Restriction for the 3 <sup>rd</sup> Call for Proposal	Available EU funds per Priority in ERDF
SO 1.1	<ul style="list-style-type: none"> <li>Service innovation, with a focus on strengthening employment via innovative public policies (national or regional authorities should take the lead), and creative industries (with a strong accent on innovative urban regeneration via service hubs)</li> </ul>	13,562,380,79 13,633,630,97 ERDF
SO 1.2	<ul style="list-style-type: none"> <li>Strengthening the capacity of the so-called support organisations (e.g. employment agencies, trade unions, labour market organisations) to provide innovative entrepreneurial training and relations to individuals in the framework of the knowledge-based economy.</li> <li>Developing innovative social services able to better meet social needs and to provide services in general interest.</li> </ul>	793,003,27 IPA 594,474,90 ERDF 648,471,90 ERDF
SO 2.1	<ul style="list-style-type: none"> <li>Water quality management, e.g. integrated policies, strategies, solutions, measures to reduce nutrient and hazardous substance pollution, improving quality of (surface and ground) waters in the Danube and its transboundary network (up/river basins) - while monitoring is not expected to be in the main focus of such projects</li> <li>More efficient waste water treatment solutions that can prove transnational impact.</li> <li>Integrated ground water resources management (from quality and / or quantity aspect) can also be potential topic, in case the transnational relevance of approach can reasonably be proved by a project.</li> <li>Managing water resources from quantitative aspects (balance, usage, inter-sectoral coordination and cooperation) having transnational impact on water bodies can also be considered as possible project topic.</li> </ul>	18,988,835,76 16,400,000,91 ERDF 974,337,27 IPA 34,349,000,000 ERDF 810,200,70 ERDF

3rd\_CfP\_MC\_summary.docx: 5,099 characters (an approximate value).

130%

...to be launched

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**INDICATIVELY** beginning of 2019

**BUT**

- Applicants Manual
- EoI templates
- Guidelines for EoI

**ARE AVAILABLE AT <http://www.interreg-danube.eu/calls/calls-for-proposals/third-call-for-proposals>**

# What are the application steps?

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Step 1: Expression of Interest (EoI)

Step 2: Application Form (AF)

➤ On line application through eMS system

Maximum duration of projects:  
30 months



## PART 2. Requirements for successful project applications:

- DTP Overview
- Partnership
- Intervention Logic
- Budget

## **DTP is a financing instrument**

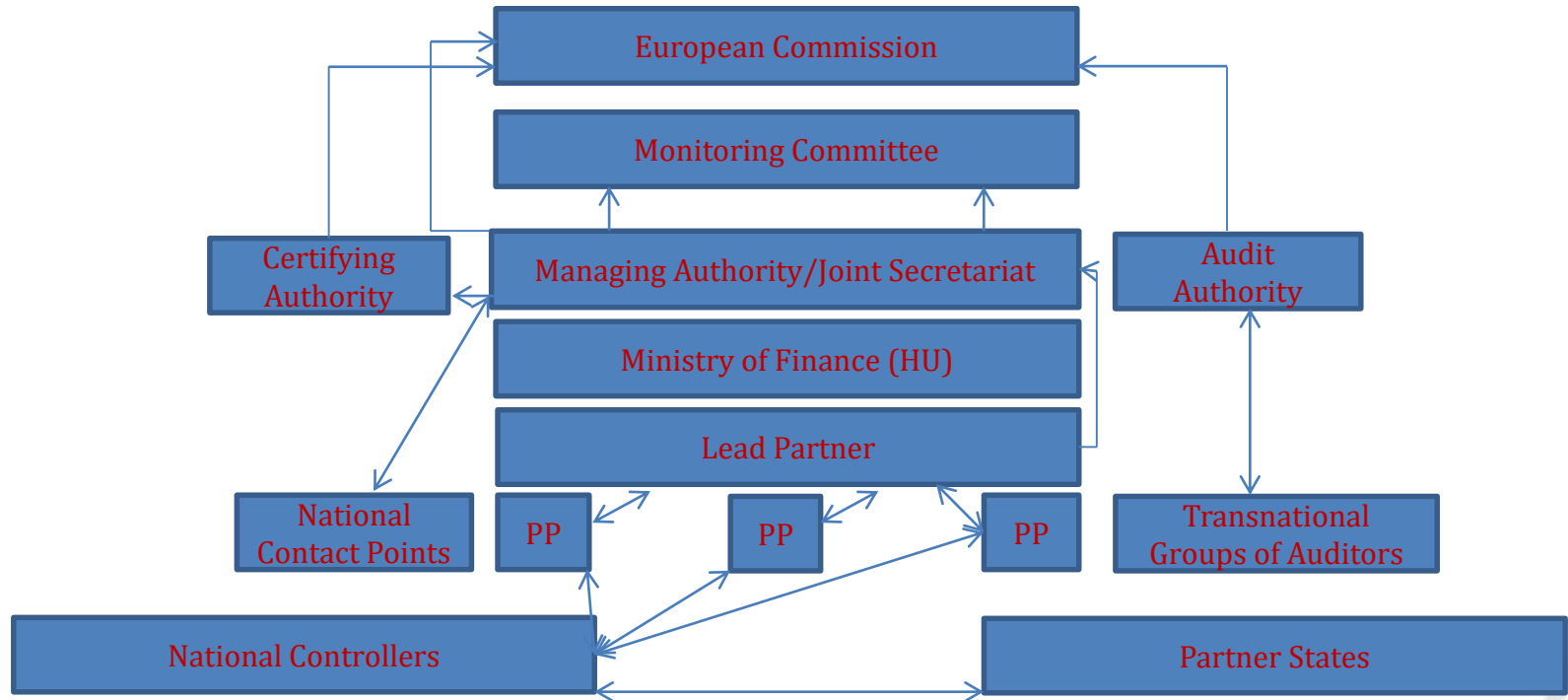
One of the 107 Interreg Programmes 2014-2020  
(transnational, cross-border, interregional)

DTP 2014-2020 finances projects implemented by large transnational consortia aiming at improving the public policies and institutional cooperation in the Danube region.

## **DTP is NOT:**

- The Danube Strategy Programme!
- EU Strategy for the Danube Region!
- The only financing instrument for EUSDR!
- A Programme addressing Danube riparian areas only!
- A Programme for big infrastructure!
- A research Programme!
- A Programme aiming at mere networking!

# Management structure



## **DTP supports joint cooperation projects which:**

- Develop institutional capacity and ensure knowledge transfer
- Pave the way to new investments
- Support the development of a common framework for policy implementation in various fields

## **DTP supports joint cooperation projects which:**

- Support policy harmonisation in various fields
- Develop innovative solutions to common problems

## Partnership requirements

- **Minimum three financing partners from **three different countries** of the programme area**
  
- **At least one from EU Member State of DTP area to act as **Lead Partner****
  
- **No max. limit for number of project partners**
  - Applicants Manual part II



# Eligible applicants

- Local, regional, national **public bodies/ bodies governed by public law**
  - “body governed by public law” according to Article 2(1) of DIRECTIVE 2014/24
  - Including EGTCs (Article 2(16) of Regulation (EU) No 1303/2013)
- **International organisations** (under national (DTP Partner State) / international law);
  - Fulfil EU, programme and national requirements in terms of control, validation of costs and audits
- **Private bodies** (non-profit & profit, funded by private law)
  - Legal personality
  - Make available results of the project to general public
  - Apply principles of public procurement

- **Private non-profit bodies**
  - Can be LP, PP, ASP
- **Private profit oriented bodies (private enterprises)**
  - Cannot be Lead Partner
  - Private enterprises not eligible as IPA or ENI PP

**Private bodies** whose main scope of activities is limited to **project management, communication**, or other activities of **supporting character** cannot be PPs, but must be subcontracted

## Geographic eligibility

- Direct EU financing only for **PPs located in Programme area (including MD\* & UA\*)**
- Legal entities in **DE & UA outside Programme area**
  - Competent in their scope of action for eligible area
  - Activities for benefit of the Programme area
  - Fulfil general eligibility



# Type of Partners

Type of Partner	Location	Budget	Budget lines	
<b>Directly financed partners</b>	<b>LEAD PARTNER</b>	Only EU part of Programme area	Separate	All
	<b>ERDF PARTNER</b>	Only EU part of Programme area	Separate	All
	<b>IPA PARTNER</b>	Only Bosnia and Herzegovina, Montenegro, Serbia	Separate	All
	<b>ENI PARTNER</b>	Moldova and Ukraine	Separate	All
<b>Indirectly financed partners</b>	<b>Associated Strategic Partners (ASPs)</b>	<ul style="list-style-type: none"> <li>➤ EU countries</li> <li>➤ Non-EU countries of the Programme Area</li> </ul>	Part of a "sponsoring" ERDF PARTNER budget	TRAVEL and ACCOMODATION

**Applicants Manual Part 2. II.4.**

## Associated Strategic Partners (ASP)

**Added  
value for  
the  
partnership**

**EU countries  
Non-EU  
countries from  
eligible area**

**No budget**

# What type of funds do I have?

## ERDF

- PPs from EU MS
- ASPs

## IPA

- PPs from BiH, RS, ME

## ENI

- PPs from MD, UA



# How to develop a successful project?

- ... the project idea...
- Look for the most appropriate partners...
- **Develop the intervention logic...**
- Develop the workplan...
- Create synergies and capitalise...



- Real transnational character
- Result-oriented approach
- Sustainable development
- Durable and transferrable outputs
- Integrated territorial approach
- Qualitative partnership
- Efficiency in terms of mobilised resources

## What to consider when applying?

Transnational  
dimension and  
territorial  
relevance

Coherence of  
the  
intervention  
logic

Project  
contribution  
to EUSDR

Durability and  
transferability  
of outputs

Composition  
of the  
partnership

## Intervention Logic

### Specific Objective 1.1 Improve framework conditions for innovation

*The core principle of the Danube Transnational Programme is the **result-orientation approach**, the basis for it being **the change** your project will bring about*

**Question:** *what kind of positive change the implementation of our project will bring about?*

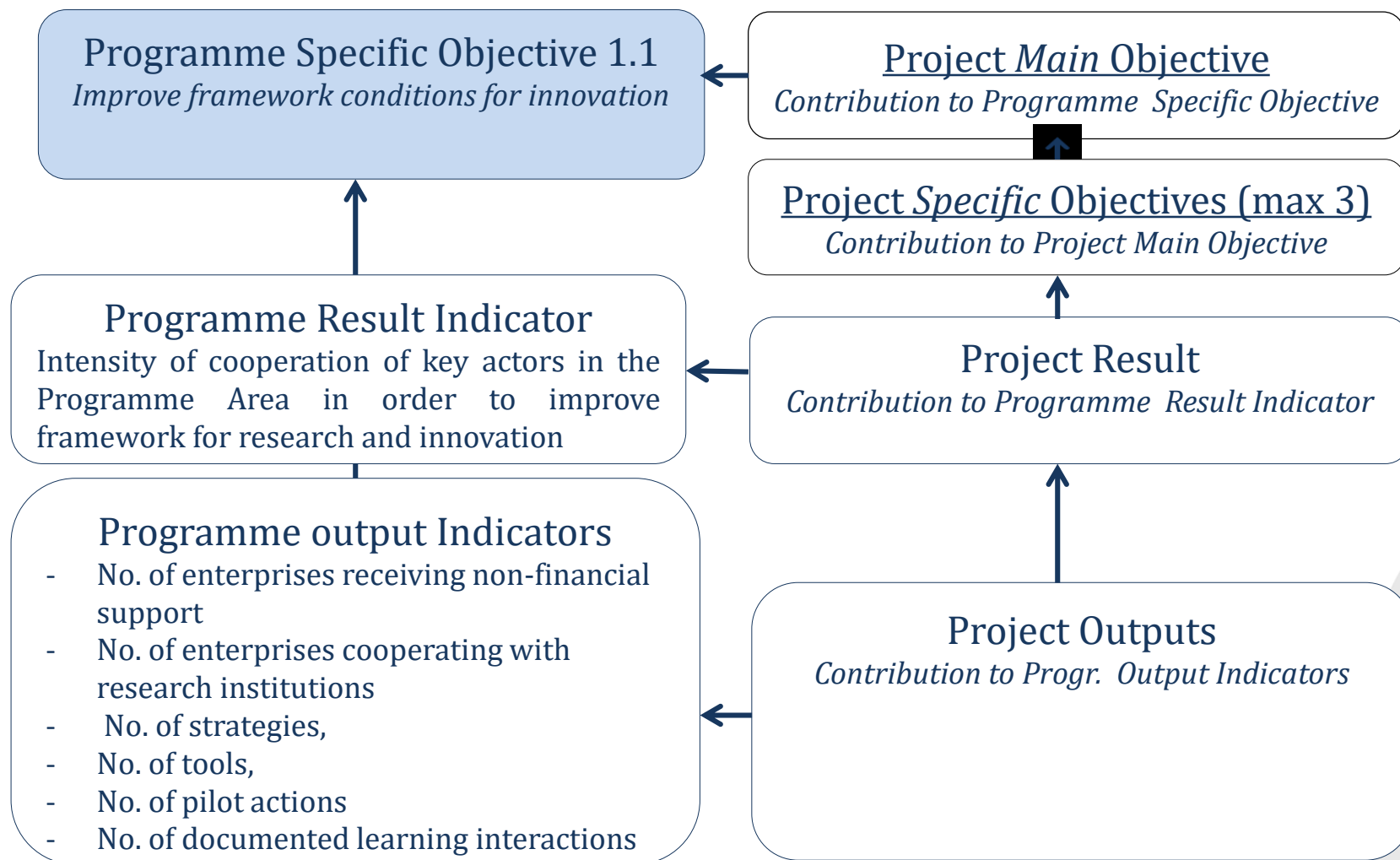
### **Direction**

*coherence of the project intervention logic ( project main and specific objectives, activities, outputs and results) with the programme intervention logic (specific objectives, outputs and results)*

***pre-condition for a project to be funded under DTP***

## Programme Intervention Logic

## Project Intervention Logic





# Typology of outputs

## Programme output indicators

**1. Horizontal output indicator** – mandatory for all the projects:  
“**documented learning interactions**” - the process of acquiring/ enhancing institutional knowledge in transnational cooperation context through joint work aimed at a practical solution, transfer of know-how, capacity building, exchange of experience, peer-reviews or any other type of learning processes

!!! It is **expected** that each project develops, implements and documents at least **three** joint learning interactions

## *Practical hints – documented learning interactions:*

- *Should be jointly developed at transnational level*
- *Activities related to durability should be planned*
- *Newly gained skill should be used already for the benefit of the project*



**2. Specific programme output indicators** – are developed based on a typology of outputs pre-defined at programme level

## A. Strategy and action plan

- Starting point-**definition of joint problems/ challenges** of the participating countries/ regions
- Sets up clear mid and long term objectives and priorities reflecting also the common vision of the Danube Region in the specific field
- **Should aim at policy integration** in the Danube area in the selected fields and act as policy drivers below EU level but above national level

## Action plan:

- Breaks down the strategy goals and objectives into specific actions
- Should include the sequence of steps to be taken, or activities that must be performed, for a strategy to succeed

!!! Each developed strategy/action plan, whether implemented or not, should be only counted once under the respective output indicator. Project management and communication-related strategies such as e.g. the project communication strategy should not be considered under this output indicator.

## ***Practical hints – Strategy and action plan:***

- *Plan also the implementation phase of the strategy/ action plan(s)*
- *Describe clearly the involvement of target groups in activities and deliverables*
- *Plan sustainability related activities (e.g. uptake of strategy/ action plan into policies)*

## B. Tools

- The **means for achieving a specific task**.
- Should be jointly developed at transnational level and be innovative.
- Can be **tangible** (physical or technical objects) and **intangible** (methods, concepts or services).

### *Examples of tools:*

- Databases, management concepts, ICT tools etc

## ***Practical hints - tools:***

- *Clearly describe the main features of the tools*
- *Plan testing/ application through pilot actions, if relevant*
- *Plan sustainability related activities*

## C. Pilot action

- **Practical implementation of newly developed solutions** (e.g. services, tools, methods or approaches, even an investment)
- Has an **experimental nature** which aims at testing, evaluating and/or demonstrating the feasibility and effectiveness of a scheme
- A pilot action is **limited in its scope** (area, duration, scale etc.) and must be unprecedented in a comparable environment

### *Examples of pilot actions:*

- *Pilot implementation of innovative management models*
- *Pilot implementation of solutions for sustainable mobility concepts*
- *Pilot application/ testing of new technologies, services etc.*

## ***Practical hints – pilot actions:***

- *Results of pilot actions should be transferable. They should be used at transnational level in the project, e.g. to further feed into an output like a strategy or tool.*
- *Scope of the pilot is limited in terms of area, duration, scale etc.*

The eligibility rules are described in the Applicants Manual and cannot be overruled by national and institutional legislation

## **All expenditures are:**

- related to the initiation and implementation of the projects as approved by the MC,
- incurred and paid by the project partner as indicated in the application form during the eligibility period of the project,
- connected to activities that have not been financed from other financial instruments,
- supported by invoices and other documents with probative value that can be linked to specific project partners with the exception of flat rate and lump sums,
- complying with the principles of efficiency, effectiveness and economy & real costs with the exceptions of costs calculated as flat rate,



## Budget\* of the 3<sup>rd</sup> Call for Proposals in EUR

PA	ERDF	IPA	ENI MD	ENI UA	Total
PA1	13,463,630.97	795,801.87	594,479.00	648,471.95	<b>15,502,383.79</b>
PA2	16,460,009.81	974,337.27	743,098.00	810,590.70	<b>18,988,035.78</b>
PA3	11,068,880.71	671,049.92	520,168.50	567,413.00	<b>12,827,512.13</b>
PA4	9,918,396.26	858,974.77	625,000.00	625,000.00	<b>12,027,371.03</b>

***\* All amounts in the table are subject to EC approval.***

# Need help?



<http://www.interreg-danube.eu/contacts/national-coordination-and-contact-points>

**... and if you are successful**

## You have to...

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- ... work and report activities
- ... spend and report costs
- ... ensure the quality of the outputs
- ... be available for controls and audits

## Danube Transnational Programme 2014 - 2020

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Ministry of Finance

Danube Transnational Programme Managing Authority | Joint Secretariat  
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